

FORMALITIES LETTER



OC00000005118624

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/527,785	03/17/2000	Oleg N. Suslov	4350.001200

Williams Morgan & Amerson PC
7676 Hillmont Suite 250
Houston, TX 77040

Date Mailed: 05/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/21/2000 AGOITOM 00000060 09527785

01 FC:205

65.00 OP

Secretary

✓

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

July 17, 2000
Date

Barbara S. Kitchell
Barbara S. Kitchell

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Oleg N. Suslov

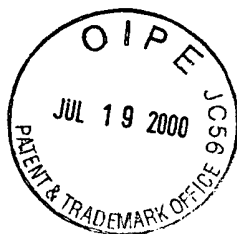
Dennis A. Steindler

Valery G. Kukekov

Serial No.: 09/527,785

Filed: March 17, 2000

For: MAKING AND USING MICROCLONAL
UNCLONED cDNA LIBRARIES



Group Art Unit: 1646

Examiner: Unknown

Atty. Dkt. No.: 4350.001200

RESPONSE TO 37 C.F.R. § 1.53(F) NOTICE

BOX MISSING PART

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(f), dated May 16, 2000, there are enclosed herewith:

- (a) A Declaration executed on behalf of Oleg N. Suslov, Dennis A. Steindler and Valery G. Kukekov;
- (b) An Election under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney executed on behalf of The University of Tennessee Research Corporation;
- (c) A Declaration Claiming Small Entity Status executed on behalf The University of Tennessee Research Corporation;

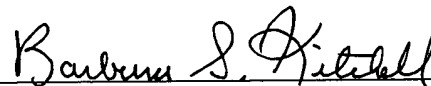
- (d) An Information Disclosure Statement including Form PTO-1449 listing references (A1-A12, B1 and C1-C62) and copies of references (A1-A12, B1 and C1-C62);
- (e) A Request for Corrected Filing Receipt;
- (f) Our check in the amount of \$90.00 to cover the surcharge for late filing (\$65.00) and the fee for correction of the filing receipt (\$25.00); and
- (g) A copy of Notice to File Missing Parts of Application-Filing Date Granted.

An Assignment to The University of Tennessee Research Corporation and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/4350.001200.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Barbara S. Kitchell
Reg. No. 33,928
Attorney for Applicant

WILLIAMS, MORGAN & AMERSON
7676 Hillmont, Suite 250
Houston, Texas 77040
(713) 934-7000

Date: July 17, 2000

13

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

July 17, 2000
Date

Barbara S. Kitchell
Barbara S. Kitchell

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Oleg N. Suslov
Dennis A. Steindler
Valery G. Kukekov



Serial No.: 09/527,785

Filed: March 17, 2000

For: MAKING AND USING MONOCLONAL
UNCLONED cDNA LIBRARIES

Group Art Unit: 1646

Examiner: Not Assigned

Atty. Dkt. No.: 4350.001200

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

A corrected filing receipt is hereby requested in view of the errors that appear in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

One of the errors is due to Applicant's error and a check for \$25.00 for the fee under 37 C.F.R. § 1.19(h) is enclosed. Should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Assistant Commissioner is hereby

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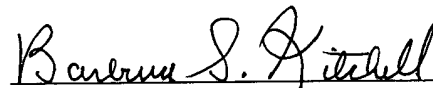
02 FC:576

25.00 0P

authorized to deduct said fee from Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/4350.001200.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

A handwritten signature in cursive script, reading "Barbara S. Kitchell", written over a horizontal line.

Barbara S. Kitchell
Reg. No. 33,928
Attorney for Applicants

WILLIAMS, MORGAN & AMERSON
7676 Hillmont, Suite 250
Houston, Texas 77040
(713) 934-7000

Date: July 17, 2000

BSK
FILING RECEIPT

OC00000005118623

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/527,785	03/17/2000	1646	864	4350.001200	4	21	5

BARBARA S. KITCHELL
Williams Morgan & Amerson PC
7676 Hillmont Suite 250
Houston, TX 77040

DOCKETING DEPT.

JUN - 2 2000

WILLIAMS MORGAN & AMERSON

Date Mailed: 05/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s) Memphis, Tennessee

Oleg N. Suslov, ~~Residence Not Provided~~;
Dennis A. Steindler, ~~Residence Not Provided~~;
Valery G. Kukekov, ~~Residence Not Provided~~;

Memphis, Tennessee
Memphis, Tennessee

Continuing Data as Claimed by Applicant

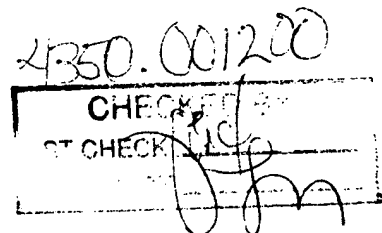
THIS APPLN CLAIMS BENEFIT OF 60/124,897 03/17/1999

Foreign Applications

If Required, Foreign Filing License Granted 05/15/2000

Title

Making and using microclonal uncloned cDNA libraries



Preliminary Class

530

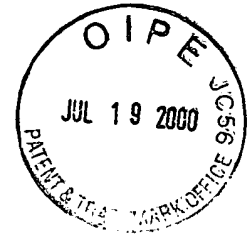
Data entry by : HORNE, CHERYL

Team : OIPE

Date: 05/16/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**



GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231